

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2176

Applicant: Scott Waterman et al.

Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN ASSOCIATING INTELLIGENCE WITH CONTENT

Docket No.: 1546.009US1

Serial No.: 10/004264

Filed: October 31, 2001

Due Date: N/A

Examiner: Unknown

Group Art Unit: 2176



Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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We are transmitting herewith the following attached items (as indicated with an "X"):

A return postcard.
 A Supplemental Information Disclosure Statement (2 pgs), Form 1449 (2 pgs), and copies of 22 cited documents.

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

By: Suneel Arora

Atty: Suneel Arora

Reg. No. 42,267

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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 30 day of January, 2004.

JASON D. SMITH

Name

Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)



#7

S/N 10/004264

PATENT

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Applicant: Scott Waterman et al. Examiner: Unknown
Serial No.: 10/004264 Group Art Unit: 2176
Filed: October 31, 2001 Docket: 1546.009US1
Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN
ASSOCIATING INTELLIGENCE WITH CONTENT

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/004264

Filing Date: October 31, 2001

Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN ASSOCIATING INTELLIGENCE WITH CONTENT

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Dkt: 1546.009US1

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

SCOTT WATERMAN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6951

Date January 29, 2004

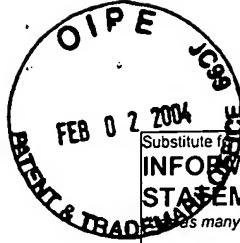
By Suneel Arora
Suneel Arora
Reg. No. 42,267

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JASON D. SMITH
Name

Signature





Substitute for Form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)

Complete if Known

| | |
|-----------------------------|-------------------|
| Application Number | 10/004,264 |
| Filing Date | October 31, 2001 |
| First Named Inventor | Scott A. Waterman |
| Group Art Unit | 2176 |
| Examiner Name | Unknown |

Sheet 1 of 2

Attorney Docket No: 1546.009US1

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US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T ² |
|--------------------|---------------------|------------------|---|---------------|----------|----------------|
| | WO-97/38378 | 10/16/1997 | Kirk, Thomas | G06F | 17/30 | |
| | WO-99/18526 | 04/15/1999 | Ferguson, D., et al. | G06F 17/30 | 17/24 | |

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|----------------------|---|----------------|
| | | "(Kanisa) Intelligized Business Processes", (1998),12 pgs | |
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| | | "Sales Connect", <u>Web Page</u> , (1998), | |

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)

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Complete if Known

| | |
|-----------------------------|------------------|
| Application Number | 10/004,264 |
| Filing Date | October 31, 2001 |
| First Named Inventor | Scott A. Waterma |
| Group Art Unit | 2176 |
| Examiner Name | Unknown |

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Sheet 2 of 2

Attorney Docket No: 1546.009US1 Technology Center 2100

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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EXAMINER

DATE CONSIDERED